

COLLABORATION WITH FOREIGN PERSONS AND ORGANIZATIONS

Faculty engaging in research or other collaborations with foreign individuals and institutions should be aware of the implications of federal export control regulations.

Doing business with certain parties, companies, institutions or countries on the government's restricted parties lists can constitute a violation of federal law and result in sanctions and criminal penalties to the University employee and the institution.

Prohibited activities include contracting with, selling to, shipping to, receiving shipments or payment from, making payment to, or conveying technology to certain restricted parties. Conveying technology does not necessarily mean physically sending technology to the restricted party. Even having a restricted person in a laboratory in which there is controlled technology could constitute a violation of federal laws.

The University subscribes to Visual Compliance, a software that allow us to screen foreign and domestic persons, companies and institutions against a number of federal restricted party lists. We are also able to research the restrictions that apply to particular technologies. Use of this screening helps to assure that we meet the regulatory requirements of the US departments of Commerce, Homeland Security, Secretary of State and other agencies.

The Office of Sponsored Projects Administration routinely conducts screening of institutions with which we subcontract for sponsored projects and conducts screening of new faculty hires for the Office of the Provost and Vice Chancellor for Academic Affairs.

Faculty and administrators can request screening of foreign and domestic collaborators or invited visitors to campus. Best practice is to proactively screen all foreign collaborators, institutions, companies and visitors to campus.

For more information on Visual Compliance and restricted party screening or to request screening of a person, company or institution, email ospa@siu.edu.